

Docket No.  
A52026US

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Immunotherapeutic Composition and Method for the Treatment of Prostate Cancer**

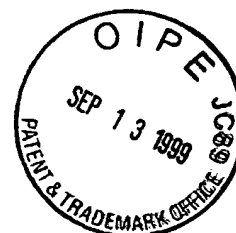
the specification of which

(check one)

☐ is attached hereto.

☒ was filed on June 15, 1999 as United States Application No. or PCT International Application Number 09/332,866

and was amended on \_\_\_\_\_  
(if applicable)



I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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Prior Foreign Application(s)

Priority Not Claimed

|                   |                    |                                 |                          |
|-------------------|--------------------|---------------------------------|--------------------------|
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/> |
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/> |
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/> |

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

**60/089,281**

(Application Serial No.)

**June 15, 1998**

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)



I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

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(Status)  
(patented, pending, abandoned)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

William J. Bundren (Reg. No. 31,712)

Wayne A. Keown (Reg. No. 33,923)

Stacey L. Channing (Reg. No. 31,095)

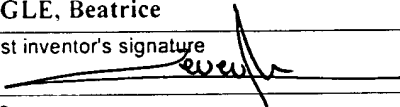


Send Correspondence to:

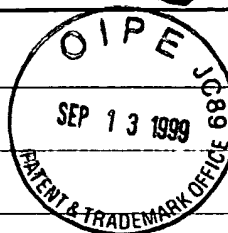
The Law Office of William J. Bundren  
576 Farmington Road, West  
Accokeek, Maryland 20607-9796

Direct Telephone Calls to: *(name and telephone number)*

William J. Bundren (301) 203-9781

|   |      |
|---|------|
| Full name of sole or first inventor<br><b>LEVEUGLE, Beatrice</b>  |      |
| Sole or first inventor's signature<br> | Date |
| Residence<br>CA   |      |
| Citizenship<br>CA   |      |
| Post Office Address<br>9741-89 Avenue, Edmonton, Alberta, Canada T6E 2S1  |      |
|   |      |

|  |      |
|--|------|
| Full name of second inventor, if any<br><b>MADIYALAKAN, Ragupathy</b>    |      |
| Second inventor's signature  | Date |
| Residence<br>CA  |      |
| Citizenship<br>CA  |      |
| Post Office Address<br>9741-89 Avenue, Edmonton, Alberta, Canada T6E 2S1 |      |
|  |      |



|  |      |
|--|------|
| Full name of third inventor, if any<br><b>NOUJAIM, Antoine A.</b>                |      |
| Third inventor's signature   | Date |
| Residence<br><b>CA</b>   |      |
| Citizenship<br><b>CA</b>   |      |
| Post Office Address<br><b>58 Wilkins Road, Edmonton, Alberta, Canada T6M 2K4</b> |      |
|  |      |

|  |      |
|--|------|
| Full name of fourth inventor, if any<br><b>SCHULTES, Birgit</b>                      |      |
| Fourth inventor's signature  | Date |
| Residence<br><b>CA</b>   |      |
| Citizenship<br><b>CA</b>   |      |
| Post Office Address<br><b>529 Hegler Crescent, Edmonton, Alberta, Canada T6R 1T4</b> |      |
|  |      |

|                                     |      |
|-------------------------------------|------|
| Full name of fifth inventor, if any |      |
| Fifth inventor's signature          | Date |
| Residence                           |      |
| Citizenship                         |      |
| Post Office Address                 |      |
|                                     |      |

|                                     |      |
|-------------------------------------|------|
| Full name of sixth inventor, if any |      |
| Sixth inventor's signature          | Date |
| Residence                           |      |
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|                                     |      |

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Priority Not Claimed

(Number)

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|                          |               |                                |
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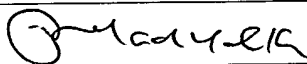
Send Correspondence to:

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576 Farmington Road, West  
Accokeek, Maryland 20607-9796

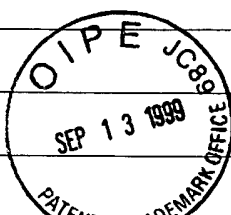
Direct Telephone Calls to: *(name and telephone number)*

William J. Bundren (301) 203-9781

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| Sole or first inventor's signature  | Date |
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| Post Office Address<br><b>9741-89 Avenue, Edmonton, Alberta, Canada T6E 2S1</b> |      |
|   |      |

|  |                            |
|--|----------------------------|
| Full name of second inventor, if any<br><b>MADIYALAKAN, Ragupathy</b>  |                            |
| Second inventor's signature<br> | Date<br><b>July 22, 99</b> |
| Residence<br><b>CA</b>   |                            |
| Citizenship<br><b>CA</b>   |                            |
| Post Office Address<br><b>9741-89 Avenue, Edmonton, Alberta, Canada T6E 2S1</b>                                    |                            |
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|                                     |      |
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| Full name of fifth inventor, if any |      |
| Fifth inventor's signature          | Date |
| Residence                           |      |
| Citizenship                         |      |
| Post Office Address                 |      |
|                                     |      |

|                                     |      |
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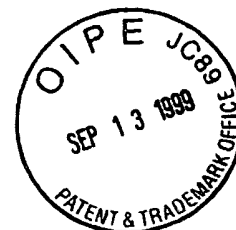
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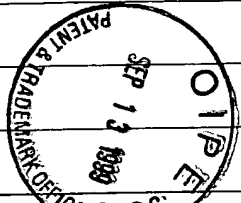
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|   |                        |                    |      |
|---|------------------------|--------------------|------|
| Full name of third inventor, if any<br>NOUJAIM, Antoine A.                | <i>Antoine Noujaim</i> | <i>Aug 6, 1999</i> | Date |
| Third inventor's signature  |                        |                    |      |
| Residence<br>CA   |                        |                    |      |
| Citizenship<br>CA   |                        |                    |      |
| Post Office Address<br>58 Wilkins Road, Edmonton, Alberta, Canada T6M 2K4 |                        |                    |      |



|   |  |      |
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| Full name of fourth inventor, if any<br>SCHULTES, Birgit                      |  | Date |
| Fourth inventor's signature   |  |      |
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| Citizenship<br>CA   |  |      |
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|                                     |  |      |
|-------------------------------------|--|------|
| Full name of fifth inventor, if any |  | Date |
| Fifth inventor's signature          |  |      |
| Residence                           |  |      |
| Citizenship                         |  |      |
| Post Office Address                 |  |      |

|                                     |  |      |
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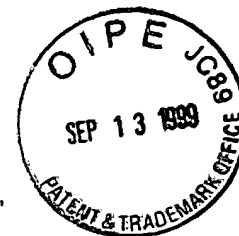
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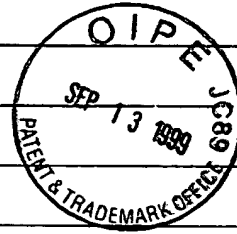
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| Citizenship<br><b>FRANCE</b>  |      |
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PLEASE  
SIGN & DATE  
↓

|   |      |
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| Fourth inventor's signature<br><i>Birgit Schultes</i>                         | Date |
| Residence<br>CA   |      |
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| Citizenship                         |      |
| Post Office Address                 |      |

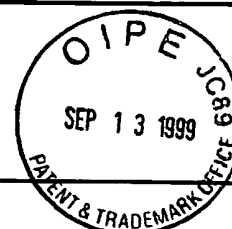
|                                     |      |
|-------------------------------------|------|
| Full name of sixth inventor, if any |      |
| Sixth inventor's signature          | Date |
| Residence                           |      |
| Citizenship                         |      |
| Post Office Address                 |      |



**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN**Docket No.  
A52026USSerial No.  
09/332,866Filing Date  
June 15, 1999

Patent No.

Issue Date

Applicant/ **LEVEUGLE, et al.**  
Patentee:Invention: **Immunotherapeutic Composition and Method for the Treatment of Prostate Cancer**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **AltaRex Corp.**ADDRESS OF CONCERN: **303 Wyman Street, Suite 125, Waltham, Massachusetts 02154**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

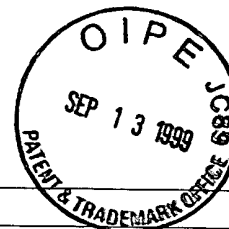
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.  
☐ each such person, concern or organization is listed below.



FULL NAME

ADDRESS

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME

ADDRESS

- ☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Richard E. BAGLEY

TITLE OF PERSON SIGNING

OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: 303 Wyman Street  
Suite 125  
Waltham, Massachusetts 02154

SIGNATURE:

DATE:

8/15/99